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| EFS ID:                              | 4956552   |  |  |  |  |
| Application Number:                  | 10732966  |  |  |  |  |
| International Application Number:    |   |  |  |  |  |
| Confirmation Number:                 | 9309  |  |  |  |  |
| Title of Invention:                  | Retaining ring with slurry transport grooves  |  |  |  |  |
| First Named Inventor/Applicant Name: | Hung Chih Chen  |  |  |  |  |
| Correspondence Address:              | PATENT COUNSEL  APPLIED MATERIALS, INC.  Legal Affairs Department  P.O. BOX 450A  Santa Clara  CA  95052  US  - |  |  |  |  |
| Filer:                               | David J. Goren/Linda Quintana   |  |  |  |  |
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| Application Type:                    | Utility under 35 USC 111(a)   |  |  |  |  |

# **Payment information:**

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| Payment Type                             | Deposit Account |
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## File Listing:

| Document<br>Number       | Document Description                             | File Name                                    | File Size(Bytes)/<br>Message Digest | Multi<br>Part /.zip | Pages<br>(if appl.) |
|--------------------------|--|--|-------------------------------------|---------------------|---------------------|
| 1                        | 1  | NOAandAmendment.pdf                          | 372805                              | yes                 | 10                  |
|                          | NOAMIdAMENIMENI.pui                              | 32e73deb142f1f0b3b2d219d7fd40f12361a<br>fa55 | yes                                 |                     |                     |
|                          | Multip   | part Description/PDF files in                | zip description                     |                     |                     |
|                          | Document Description                             |  | Start                               | End                 |                     |
|                          | Notice of Appeal Filed                           |  | 1                                   | 1                   |                     |
|                          | Amendment After Final                            |  | 2                                   | 2                   |                     |
|                          | Claims   |  | 3                                   | 6                   |                     |
|                          | Applicant Arguments/Remarks Made in an Amendment |  | 7                                   | 10                  |                     |
| Warnings:                |  |  |                                     |                     |                     |
| Information:             |  |  |                                     |                     |                     |
| 2 Fee Worksheet (PTO-06) | fee-info.pdf                                     | 32178  | no                                  | 2                   |                     |
|                          |  | 67068ed420bf457e3974c4fb93bf4bff2bd1<br>77a6 |                                     |                     |                     |
| Warnings:                |  |  |                                     |                     |                     |
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.